



## **ASX Announcement**

### **Appendix 3X (Late Lodgement)**

**Sydney Australia. 21 December, 2009** – On 1 November 2009 the Company announced that Mr David Rosa had been appointed to the Sunshine Heart board by its directors. Immediately prior to this announcement Mr Rosa confirmed that he held no securities in the Company. However the Company inadvertently did not lodge an Appendix 3X within the prescribed time stating that Mr Rosa held no securities in the Company. The Company considers that at no stage was the market uniformed of any matter of substance.

#### **Disclosure arrangements in place**

On his appointment Mr Rosa was asked by the Company whether he held any securities in the Company and he confirmed in writing that he did not.

The Company's Share Trading Policy requires that all directors advise the Company Secretary of any share trading. All directors are aware of this policy and a copy of the policy is on the Company's website.

#### **Future arrangements**

In future greater attention will be paid to the timely reporting and compliance in respect of these matters to ensure that no similar oversight occurs.

Attached is an Appendix 3X for Mr Rosa.

Rowena Hubble  
Company Secretary

#### **About Sunshine Heart**

Sunshine Heart (ASX: SHC) ([www.sunshineheart.com](http://www.sunshineheart.com)) is a global medical device company, committed to the commercialization of C-Pulse™ an implantable, non-blood contacting, heart assist therapy for the treatment of people with moderate heart failure. C-Pulse reduces the symptoms of heart failure through the use of counterpulsation technology which enables an increase in cardiac output, an increase in coronary blood flow and reduction in the heart's pumping load. The Company has received approval from the US Food and Drug Administration (FDA) to conduct a 20 person US clinical trial with C-Pulse and patient enrolment has commenced. Sunshine Heart listed on the ASX in September 2004 has a presence in Australia, New Zealand and the United States of America.

For further information, please visit [www.sunshineheart.com](http://www.sunshineheart.com) or contact:

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# Appendix 3X

## Initial Director's Interest Notice

*Information or documents not available now must be given to ASX as soon as available. Information and documents given to ASX become ASX's property and may be made public.*

Introduced 30/9/2001.

<b>Name of entity</b>	<b>Sunshine Heart</b>
<b>ABN</b>	<b>79 109 440 888</b>

We (the entity) give ASX the following information under listing rule 3.19A.1 and as agent for the director for the purposes of section 205G of the Corporations Act.

<b>Name of Director</b>	David Rosa
<b>Date of appointment</b>	1 November 2009

### Part 1 - Director's relevant interests in securities of which the director is the registered holder

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

Note: In the case of a company, interests which come within paragraph (i) of the definition of "notifiable interest of a director" should be disclosed in this part.

<b>Number &amp; class of securities</b>
Nil

**Part 2 – Director’s relevant interests in securities of which the director is not the registered holder**

*In the case of a trust, this includes interests in the trust made available by the responsible entity of the trust*

<b>Name of holder &amp; nature of interest</b> Note: Provide details of the circumstances giving rise to the relevant interest.	<b>Number &amp; class of Securities</b>

### Part 3 – Director's interests in contracts

Note: In the case of a company, interests which come within paragraph (ii) of the definition of “notifiable interest of a director” should be disclosed in this part.

<b>Detail of contract</b>	
<b>Nature of interest</b>	
<b>Name of registered holder (if issued securities)</b>	
<b>No. and class of securities to which interest relates</b>	

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+ See chapter 19 for defined terms.