

Announcement Summary

---

**Entity name**

CARDIEX LIMITED

**Date of this announcement**

Thursday June 30, 2022

**The +securities the subject of this notification are:** +Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX**Total number of +securities to be issued/transferred**

<b>ASX +security code</b>	<b>Security description</b>	<b>Total number of +securities to be issued/transferred</b>	<b>Issue date</b>
New class - code to be confirmed	CDX001 ESOP 1/3 vesting on issue, balance vesting quarterly in equal quarterly instalments over 2yrs	300,000	30/06/2022
New class - code to be confirmed	CDX002 ESOP vesting quarterly in equal quarterly instalments over 3 years	1,000,000	30/06/2022

Refer to next page for full details of the announcement



Part 1 - Entity and announcement details

---

**1.1 Name of entity**

CARDIEX LIMITED

We (the entity named above) give notice of the issue, conversion or payment up of the following unquoted +securities.

**1.2 Registered number type**

ABN

**Registration number**

81113252234

**1.3 ASX issuer code**

CDX

**1.4 The announcement is**

New announcement

**1.5 Date of this announcement**

30/6/2022



Part 2 - Issue details

---

**2.1 The +securities the subject of this notification are:**

+Other securities issued under an +employee incentive scheme that are not intended to be quoted on ASX

**2.2a This notification is given in relation to an issue of +securities in a class which is not quoted on ASX and which:**

does not have an existing ASX security code ("new class")



Part 3C - number and type of +securities the subject of this notification (new class) where issue has not previously been notified to ASX in an Appendix 3B

**ASX +security code**

New class - code to be confirmed

**+Security description**

CDX001 ESOP 1/3 vesting on issue, balance vesting quarterly in equal quarterly instalments over 2yrs

**+Security type**

Options

**ISIN code**

**Date the +securities the subject of this notification were issued**

30/6/2022

**Will all the +securities issued in this class rank equally in all respects from their issue date?**

Yes

**Were any of the +securities issued to +key management personnel (KMP) or an +associate?**

No

**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?**

No

**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**

[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access_token=83ff96335c2d45a094df02a206a39ff4)

Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 0.80000000

**Expiry date**

30/6/2027

**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

CDX Fully Paid Ordinary Shares

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access_token=83ff96335c2d45a094df02a206a39ff4)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

None.

## Issue details

**Number of +securities**

300,000

**ASX +security code**

New class - code to be confirmed

**+Security description**

CDX002 ESOP vesting quarterly in equal quarterly instalments over 3 years

**+Security type**

Options

**ISIN code****Date the +securities the subject of this notification were issued**

30/6/2022

**Will all the +securities issued in this class rank equally in all respects from their issue date?** Yes**Were any of the +securities issued to +key management personnel (KMP) or an +associate?** No**Have you received confirmation from ASX that the terms of the +securities are appropriate and equitable under listing rule 6.1?** No**Please provide a URL link for a document lodged with ASX setting out the material terms of the +securities being issued.**[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access\\_token=83ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access_token=83ff96335c2d45a094df02a206a39ff4)

## Options Details

**+Security currency**

AUD - Australian Dollar

**Exercise price**

AUD 0.80000000

**Expiry date**

30/6/2027



**Details of the existing class of +security that will be issued upon exercise or conversion of this new class of company option**

Other

**Description**

CDX Fully Paid Ordinary Shares

**Please provide a URL link for a document lodged with ASX detailing the terms of the +employee incentive scheme or a summary of the terms**

[https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access\\_token=8.3ff96335c2d45a094df02a206a39ff4](https://cdn-api.markitdigital.com/apiman-gateway/ASX/asx-research/1.0/file/2924-02309417-2A1264058?access_token=8.3ff96335c2d45a094df02a206a39ff4)

**Any other information the entity wishes to provide about the +securities the subject of this notification**

None.

Issue details

---

**Number of +securities**

1,000,000

---



## Part 4 - +Securities on issue

**Following the issue, conversion or payment up of the +securities the subject of this application, the +securities of the entity will comprise:  
(A discrepancy in these figures compared to your own may be due to a matter of timing if there is more than one application for quotation/issuance currently with ASX for processing.)**

**4.1 Quoted +Securities (Total number of each +class of +securities quoted)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
CDX : ORDINARY FULLY PAID	109,953,700

**4.2 Unquoted +Securities (Total number of each +class of +securities issued but not quoted on ASX)**

<b>ASX +security code and description</b>	<b>Total number of +securities on issue</b>
CDXAI : OPTION EXPIRING VARIOUS DATES EX VARIOUS PRICES	537,667
CDXAJ : PERFORMANCE RIGHTS	16,050,000
CDXAQ : OPTION EXPIRING 11-JUN-2026 EX \$0.80	125,000
CDXAN : OPTION EXPIRING 26-FEB-2024 EX \$0.50	300,000
CDXAM : OPTION EXPIRING 15-JAN-2024 EX \$0.50	1,530,000
CDXAO : OPTION EXPIRING 15-FEB-2026 EX \$0.50	400,000
CDXAP : OPTION EXPIRING 15-FEB-2026 EX \$0.80	2,925,000
New class - code to be confirmed : CDX001 ESOP 1/3 vesting on issue, balance vesting quarterly in equal quarterly instalments over 2yrs	300,000
New class - code to be confirmed : CDX002 ESOP vesting quarterly in equal quarterly instalments over 3 years	1,000,000



Part 5 - Other Listing Rule requirements

---

**5.1 Were the +securities issued under an exception in Listing Rule 7.2 and therefore the issue did not need any security holder approval under Listing Rule 7.1?**

Yes

**5.1a Select the number of the applicable exception in Listing Rule 7.2**

13