



**Ongoing Disclosure Notice**

**Disclosure of Directors and Senior Managers Relevant Interests**

*Sections 297(2) and 298(2), Financial Markets Conduct Act 2013*

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date of last disclosure:

Summerset Group Holdings Limited
29 March 2022
23 September 2021

**Director or senior manager giving disclosure**

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Anne June Urlwin
Summerset Group Holdings Limited
N/A
Independent Director

**Summary of acquisition or disposal of relevant interest (excluding specified derivatives)**

Class of affected quoted financial products:
Nature of the affected relevant interest(s):
<b>For that relevant interest-</b>
Number held in class before acquisition or disposal:
Number held in class after acquisition or disposal:
Current registered holder(s):
Registered holder(s) once transfers are registered:

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Beneficial ownership of ordinary shares
31,670
31,834
Maigold Holdings Limited
As above

**Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)**

Type of affected derivative:
Class of underlying financial products:
<b>Details of affected derivative-</b>
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative, -</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A

**Details of transactions giving rise to acquisition or disposal**

Total number of transactions to which notice relates:
<b>Details of transactions requiring disclosure-</b>
Date of transaction:
Nature of transaction:
Name of any other party or parties to the transaction (if known):
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily converted into a cash value, describe the consideration:
Number of financial products to which the transaction related:
<i>If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—</i>
Whether relevant interests were acquired or disposed of during a closed period:
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:
Date of the prior written clearance (if any):

One
23 March 2022
Issue of ordinary shares subject to the Dividend Reinvestment Plan
N/A
\$1,836.34080000
164
N/A
N/A
N/A

**Summary of other relevant interests after acquisition or disposal:**

Class of quoted financial products:
Nature of relevant interest:

(1) Fixed rate bonds (NZX Code: SUM010) (2) Fixed rate bonds (NZX Code: SUM030)
(1) Beneficial ownership (2) Beneficial ownership

*For that relevant interest,-*

Number held in class:
Current registered holder(s):

(1) 30,000 (2) 30,000
(1) Maigold Holdings Limited (2) Maigold Holdings Limited

*For a derivative relevant interest,-*

Type of derivative:
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N/A
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**Details of derivative,-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price's specified terms (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative relevant interest,-</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A

**Certification**

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
Signature of director or officer:
Date of signature:


29 March 2022

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:

N/A
N/A
N/A



## Ongoing Disclosure Notice

### Disclosure of Directors and Senior Managers Relevant Interests

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date of last disclosure:

Summerset Group Holdings Limited
29 March 2022
23 September 2021

#### Director or senior manager giving disclosure

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Gráinne Patricia Troute
Summerset Group Holdings Limited
N/A
Independent Director

#### Summary of acquisition or disposal of relevant interest (excluding specified derivatives)

Class of affected quoted financial products:
Nature of the affected relevant interest(s):
<b>For that relevant interest-</b>
Number held in class before acquisition or disposal:
Number held in class after acquisition or disposal:
Current registered holder(s):
Registered holder(s) once transfers are registered:

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Registered and beneficial ownership of ordinary shares

Registered and beneficial ownership of 25,112 ordinary shares
Registered and beneficial ownership of 25,241 ordinary shares
Gráinne Patricia Troute
As above

#### Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)

Type of affected derivative:
Class of underlying financial products:

N/A
N/A

#### Details of affected derivative-

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:

N/A
N/A
N/A
N/A
N/A
N/A

For that derivative,-

Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A

#### Details of transactions giving rise to acquisition or disposal

Total number of transactions to which notice relates:
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One
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#### Details of transactions requiring disclosure-

Date of transaction:
Nature of transaction:
Name of any other party or parties to the transaction (if known):
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily converted into a cash value, describe the consideration:
Number of financial products to which the transaction related:

23 March 2022
Issue of ordinary shares subject to the Dividend Reinvestment Plan
N/A
\$1,444.43880000
129

If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—

Whether relevant interests were acquired or disposed of during a closed period:
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:

No
N/A

Date of the prior written clearance (if any):

N/A

**Summary of other relevant interests after acquisition or disposal:**

Class of quoted financial products:

N/A

Nature of relevant interest:

N/A

*For that relevant interest,-*

Number held in class:

N/A

Current registered holder(s):

N/A

*For a derivative relevant interest,-*

Type of derivative:

N/A

**Details of derivative,-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):

N/A

A statement as to whether the derivative is cash settled or physically settled:

N/A

Maturity date of the derivative (if any):

N/A

Expiry date of the derivative (if any):

N/A

The price's specified terms (if any):

N/A

Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:

N/A

*For that derivative relevant interest,-*

Parties to the derivative:

N/A

If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A

N/A

**Certification**

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

Signature of director or officer:



Date of signature:

29 March 2022

or

Signature of person authorised to sign on behalf of director or officer:

N/A

Date of signature:

N/A

Name and title of authorised person:

N/A



**Ongoing Disclosure Notice**

**Disclosure of Directors and Senior Managers Relevant Interests**

*Sections 297(2) and 298(2), Financial Markets Conduct Act 2013*

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date of last disclosure:

Summerset Group Holdings Limited
29 March 2022
18 March 2021

**Director or senior manager giving disclosure**

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

James Harold Ogden
Summerset Group Holdings Limited
N/A
Independent Director

**Summary of acquisition or disposal of relevant interest (excluding specified derivatives)**

Class of affected quoted financial products:
Nature of the affected relevant interest(s):
<b>For that relevant interest-</b>
Number held in class before acquisition or disposal:
Number held in class after acquisition or disposal:
Current registered holder(s):
Registered holder(s) once transfers are registered:

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Registered holder and beneficial ownership of ordinary shares
39,504
39,707
James Harold Ogden
N/A

**Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)**

Type of affected derivative:
Class of underlying financial products:
<b>Details of affected derivative-</b>
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative,-</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A

**Details of transactions giving rise to acquisition or disposal**

Total number of transactions to which notice relates:
<b>Details of transactions requiring disclosure-</b>
Date of transaction:
Nature of transaction:
Name of any other party or parties to the transaction (if known):
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily converted into a cash value, describe the consideration:
Number of financial products to which the transaction related:
<i>If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—</i>
Whether relevant interests were acquired or disposed of during a closed period:
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:
Date of the prior written clearance (if any):

One
23 March 2022
Issue of ordinary shares subject to the Dividend Reinvestment Plan
N/A
\$2,273.03160000
203
No
N/A
N/A

**Summary of other relevant interests after acquisition or disposal:**

Class of quoted financial products:
Nature of relevant interest:

(1) Fixed rate bonds (NZX Code: SUM010) (2) Fixed rate bonds (NZX Code: SUM020) (3) Fixed rate bonds (NZX Code: SUM030)
(1) Registered holder as trustee of the Wakapua Trust (2) Power to acquire or dispose of, or to control the acquisition or disposal of, fixed rate bonds (3) Power to acquire or dispose of, or to control the acquisition or disposal of, fixed rate bonds

*For that relevant interest,-*

Number held in class:
Current registered holder(s):

(1) 15,000 (2) 100,000 (3) 150,000
(1) James Harold Ogden, Rachel Margaret Phillips and Malcolm Lindsay Phillips as trustees of the Wakapua Trust (pending registration of change in trustees) (2) Clara Ogden (3) Clara Ogden

*For a derivative relevant interest,-*

Type of derivative:
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N/A
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**Details of derivative,-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative (if any):
The price's specified terms (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative relevant interest,-</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A

**Certification**

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
Signature of director or officer:
Date of signature:


29 March 2022

or

Signature of person authorised to sign on behalf of director or officer:
Date of signature:
Name and title of authorised person:

N/A
N/A
N/A



**Ongoing Disclosure Notice**

**Disclosure of Directors and Senior Managers Relevant Interests**

Sections 297(2) and 298(2), Financial Markets Conduct Act 2013

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date of last disclosure:

Summerset Group Holdings Limited
29 March 2022
23 September 2021

**Director or senior manager giving disclosure**

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Dr Marie Magdalen Bismark
Summerset Group Holdings Limited
N/A
Independent Director

**Summary of acquisition or disposal of relevant interest (excluding specified derivatives)**

Class of affected quoted financial products:
Nature of the affected relevant interest(s):
<b>For that relevant interest-</b>
Number held in class before acquisition or disposal:
Number held in class after acquisition or disposal:
Current registered holder(s):
Registered holder(s) once transfers are registered:

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Registered and beneficial ownership
24,076
24,233
Dr Marie Magdalen Bismark
N/A

**Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)**

Type of affected derivative:
Class of underlying financial products:
<b>Details of affected derivative-</b>
The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative(if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
<i>For that derivative, -</i>
Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A
N/A

**Details of transactions giving rise to acquisition or disposal**

Total number of transactions to which notice relates:
<b>Details of transactions requiring disclosure-</b>
Date of transaction:
Nature of transaction:
Name of any other party or parties to the transaction (if known):
The consideration, expressed in New Zealand dollars, paid or recieved for the acquisition or disposal. If the consideration was not in cash and cannot be readily by converted into a cash value, describe the consideration:
Number of financial products to which the transaction related:
<i>If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—</i>
Whether relevant interests were aquired or disposed of during a closed period:
Whether prior written clearance was provided to allow the aquisition or disposal to proceed during the closed period:
Date of the prior written clearance (if any):

One
23 March 2022
Issue of ordinary shares subject to the Dividend Reinvestment Plan
N/A
\$1,757.96040000
157
N/A
N/A
N/A

**Summary of other relevant interests after acquisition or disposal:**

Class of quoted financial products:

N/A

Nature of relevant interest:

N/A

*For that relevant interest,-*

Number held in class:

N/A

Current registered holder(s):

N/A

*For a derivative relevant interest,-*

Type of derivative:

N/A

**Details of derivative,-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):

N/A

A statement as to whether the derivative is cash settled or physically settled:

N/A

Maturity date of the derivative (if any):

N/A

Expiry date of the derivative (if any):

N/A

The price's specified terms (if any):

N/A

Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:

N/A

*For that derivative relevant interest,-*

N/A

Parties to the derivative:

N/A

If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A

**Certification**

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.

Signature of director or officer:



Date of signature:

29 March 2022

or

Signature of person authorised to sign on behalf of director or officer:

N/A

Date of signature:

N/A

Name and title of authorised person:

N/A





**Ongoing Disclosure Notice**

**Disclosure of Directors and Senior Managers Relevant Interests**

*Sections 297(2) and 298(2), Financial Markets Conduct Act 2013*

To NZX Limited; and
Name of listed issuer:
Date this disclosure made:
Date of last disclosure:

Summerset Group Holdings Limited
29 March 2022
10 March 2022

**Director or senior manager giving disclosure**

Full name(s):
Name of listed issuer:
Name of related body corporate (if applicable):
Position held in listed issuer:

Scott Grady Scoullar
Summerset Group Holdings Limited
N/A
CEO

**Summary of acquisition or disposal of relevant interest (excluding specified derivatives)**

Class of affected quoted financial products:
Nature of the affected relevant interest(s):
<b>For that relevant interest-</b>
Number held in class before acquisition or disposal:
Number held in class after acquisition or disposal:
Current registered holder(s):
Registered holder(s) once transfers are registered:

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM)
Registered and/or beneficial ownership of ordinary shares and the power to acquire or dispose of, or to control the acquisition or disposal of, ordinary shares (as detailed below)

(1) Beneficial ownership of 204,945 ordinary shares pursuant to a long term incentive plan under which the shares have vested
(2) Registered holder and beneficial ownership of 449,686 ordinary shares
(3) Power to acquire or dispose of, or to control the acquisition or disposal of, 12,014 ordinary shares
(1) Beneficial ownership of nil ordinary shares pursuant to a long term incentive plan under which the shares have vested.
(2) Registered holder and beneficial ownership of 573,104 ordinary shares
(3) Power to acquire or dispose of, or to control the acquisition or disposal of, 12,076 ordinary shares
(1) Summerset LTI Trustee Limited
(2) Scott Grady Scoullar
(3) Donald Lisle Scoullar
As above

**Summary of acquisition or disposal of specified derivatives relevant interest (if applicable)**

Type of affected derivative:
Class of underlying financial products:

N/A
N/A

**Details of affected derivative-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
A statement as to whether the derivative is cash settled or physically settled:
Maturity date of the derivative (if any):
Expiry date of the derivative(if any):
The price specified in the terms of the derivative (if any):
Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:

N/A
N/A
N/A
N/A
N/A
N/A

*For that derivative,-*

Parties to the derivative:
If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:

N/A
N/A

**Details of transactions giving rise to acquisition or disposal**

Total number of transactions to which notice relates:
<b>Details of transactions requiring disclosure-</b>
Date of transaction:
Nature of transaction:
Name of any other party or parties to the transaction (if known):
The consideration, expressed in New Zealand dollars, paid or received for the acquisition or disposal. If the consideration was not in cash and cannot be readily converted into a cash value, describe the consideration:
Number of financial products to which the transaction related:

Four
23 March 2022
(1) Disposal of vested ordinary shares by Summerset LTI Trustee Limited pursuant to a long term incentive plan to fund repayment of loan relating to the vested shares.
(2) Transfer of vested ordinary shares pursuant to a long term incentive plan from Summerset LTI Trustee Limited to personal name on repayment of loan relating to the vested shares.
(3) Issue of 2,314 ordinary shares subject to the Dividend Reinvestment Plan.
(4) Issue of 62 ordinary shares subject to the Dividend Reinvestment Plan, such ordinary shares being subject to the power of acquisition or disposal as detailed above.
N/A
(1) \$973,804.83
(2) N/A - transfer of remaining vested shares following sale to repay loan.
(3) \$25,910.3208
(4) \$694.2264
(1) 83,841
(2) 121,104
(3) 2,314
(4) 62

*If the issuer has a financial products trading policy that prohibits directors or senior managers from trading during any period without written clearance (a closed period) include the following details—*

Whether relevant interests were acquired or disposed of during a closed period:
Whether prior written clearance was provided to allow the acquisition or disposal to proceed during the closed period:
Date of the prior written clearance (if any):

No
N/A
N/A

**Summary of other relevant interests after acquisition or disposal:**

Class of quoted financial products:
Nature of relevant interest:
<i>For that relevant interest,-</i>
Number held in class:
Current registered holder(s):

Summerset Group Holdings Limited ordinary shares (NZX Code: SUM) and fixed rate bonds (NZX Codes: SUM010, SUM020 and SUM030)
Registered and/or beneficial ownership of ordinary shares, registered and beneficial ownership of options to acquire ordinary shares, and the power to acquire or dispose of, or to control the acquisition or disposal of, fixed rate bonds (as detailed below)
(1) Beneficial ownership of 320 ordinary shares pursuant to a widely held exempt employee share plan under which the shares have not yet vested
(2) Registered and beneficial ownership of 161,125 options to subscribe for ordinary shares pursuant to a share option plan under which the options have not yet vested and become exercisable
(3) Registered and beneficial ownership of 122,116 options to subscribe for ordinary shares pursuant to a share option plan under which the options have vested and become exercisable
(4) Power to acquire or dispose of, or to control the acquisition or disposal of, 25,000 SUM010 fixed rate bonds
(5) Power to acquire or dispose of, or to control the acquisition or disposal of, 40,000 SUM020 fixed rate bonds
(6) Power to acquire or dispose of, or to control the acquisition or disposal of, 40,000 SUM030 fixed rate bonds
(1) Summerset LTI Trustee Limited
(2) Scott Grady Scoullar
(3) Scott Grady Scoullar


(4) Donald Lisle Scoullar
(5) Donald Lisle Scoullar
(6) Donald Lisle Scoullar

*For a derivative relevant interest,-*

Type of derivative:
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N/A
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**Details of derivative,-**

The notional value of the derivative (if any) or the notional amount of underlying financial products (if any):
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N/A
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A statement as to whether the derivative is cash settled or physically settled:
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N/A
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Maturity date of the derivative (if any):
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N/A
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Expiry date of the derivative (if any):
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N/A
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The price's specified terms (if any):
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N/A
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Any other details needed to understand how the amount of the consideration payable under the derivative or the value of the derivative is affected by the value of the underlying financial products:
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N/A
-----

*For that derivative relevant interest,-*

N/A
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Parties to the derivative:
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N/A
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If the director or senior manager is not a party to the derivative, the nature of the relevant interest in the derivative:
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N/A
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**Certification**

I certify that, to the best of my knowledge and belief, the information contained in this disclosure is correct and that I am duly authorised to make this disclosure by all persons for whom it is made.
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Signature of director or officer:
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Date of signature:
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29 March 2022
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or

Signature of person authorised to sign on behalf of director or officer:
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N/A
-----

Date of signature:
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N/A
-----

Name and title of authorised person:
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N/A
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